

Evolution of gauge invariant scalar perturbations from inflation to reheating

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What this talk is about

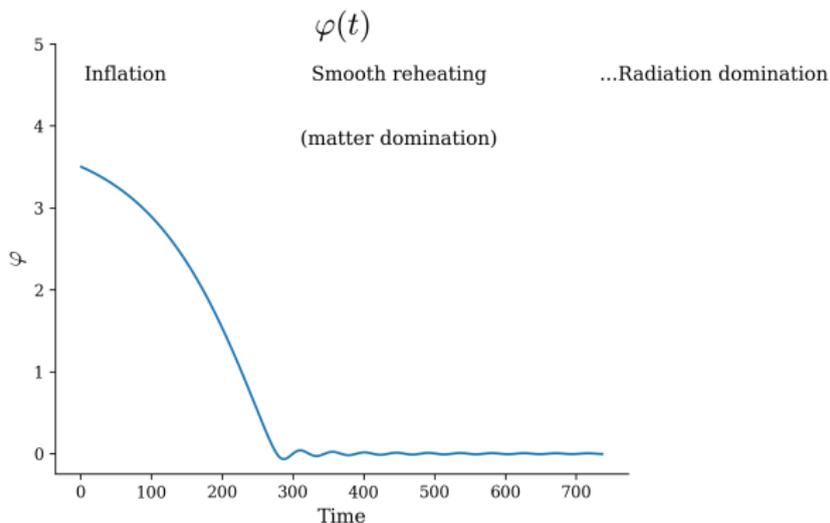
Improve on the standard formalism for generation of primordial perturbations so that:

- it is valid beyond the slow roll regime
- gauge confusions are avoided

Goal: incorporate the influence of a smooth reheating period in predictions of $\mathcal{P}_R(k)$.

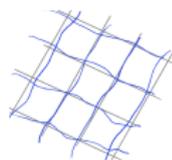
Time frame

- inflation $\xrightarrow[\text{reheating}]{\text{smooth}}$ radiation domination



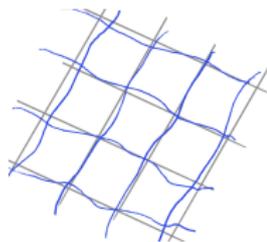
Main players

- metric
 - $g_{\mu\nu}(t, x) = \bar{g}_{\mu\nu}(t) + \delta g_{\mu\nu}(t, x)$
- inflaton
 - $\varphi(t, x) = \bar{\varphi}(t) + \delta\varphi(t, x)$
- plasma
 - $T_{\nu}^{\mu}|_{\text{rad}} = \bar{T}_{\nu}^{\mu}|_{\text{rad}} + \delta T_{\nu}^{\mu}|_{\text{rad}}(\delta T, \delta u \equiv v)$



Written in terms of **background values** +
scalar perturbations
(scalar-vector-tensor decomposition)

Metric tensor $g_{\mu\nu}$



- FLRW background + perturbations

$$g_{\mu\nu} = a(\tau)^2 \begin{pmatrix} -1 - 2h_0 & \partial_i h \\ \partial_i h & (1 - 2h_D)\delta_{ij} + 2(\partial_i \partial_j - \delta_{ij} \frac{\nabla^2}{3})\vartheta \end{pmatrix}$$

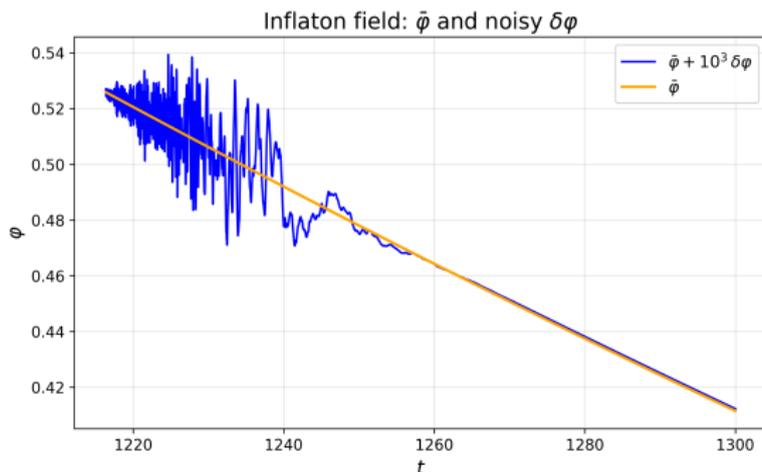
- Einstein equations: $G_{\mu\nu} = 8\pi G T_{\mu\nu}$

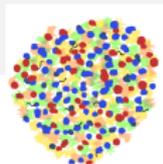
$$\rightarrow \bar{G}_{\mu\nu} = 8\pi G \bar{T}_{\mu\nu}, \quad \delta G_{\mu\nu} = 8\pi G \delta T_{\mu\nu}$$

Inflaton φ (interacting with a plasma)

- Langevin equation:

$$\varphi^{;\mu}{}_{;\mu} - \Upsilon(T, \varphi) u^\mu \varphi_{, \mu} - V_{, \varphi} + \varrho = 0$$

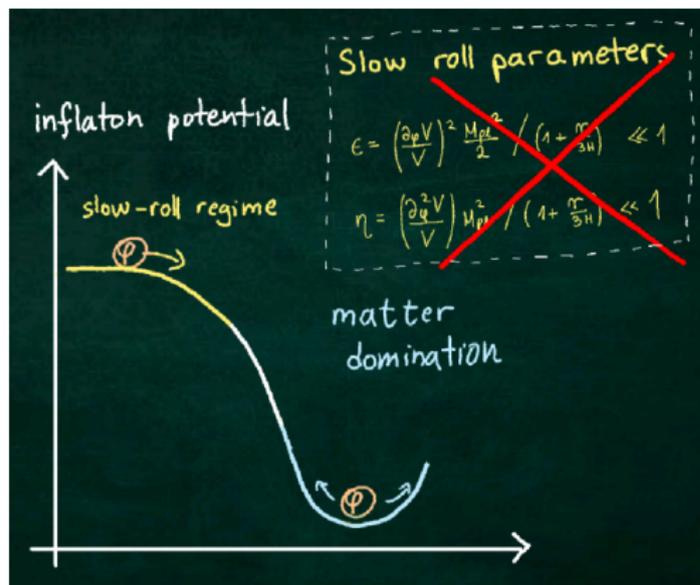




- $T^{\mu\nu} \equiv [e(T, \varphi) + p(T, \varphi)] u^\mu u^\nu + p(T, \varphi) g^{\mu\nu} + \varphi^{\cdot\mu} \varphi^{\cdot\nu} - \frac{1}{2} g^{\mu\nu} \varphi_{,\alpha} \varphi^{\cdot\alpha}$
 - $T \rightarrow \bar{T} + \delta T$
 - $u^\mu \rightarrow \bar{u}^\mu + \delta u^\mu(\mathbf{v}, \delta g^{\alpha\beta})$
- energy-momentum conservation: $\nabla_\mu T^\mu_\nu = 0$
- assume plasma equilibrates fast: $\Gamma \gg \Upsilon$

Note: Say NO to slow roll!

- We make no slow roll approximation. Our equations are valid even after the inflation has ended.



Gauge invariance

- gauge invariance in General Relativity = coordinate freedom
- $x^\mu \rightarrow x^\mu + \xi^\mu \Rightarrow$ 2 scalar gauge variables

4-vector decomposition:

$$\xi^\mu = \begin{pmatrix} \xi^0 \\ \vec{\xi} \end{pmatrix}$$

scalar

vector to be decomposed
(Helmholtz decomposition)

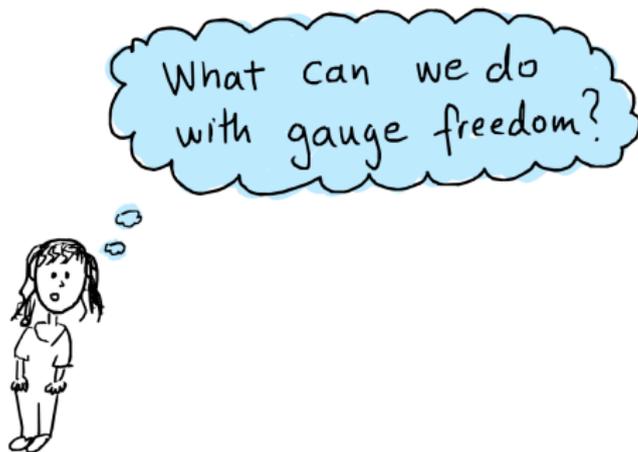
$$\vec{\xi} = \vec{\xi}_{||} + \vec{\xi}_{\perp}$$
$$= -\nabla \xi + \vec{\nabla} \times \vec{\Lambda}$$

scalar

We have 2 scalar modes: ξ, ξ^0

- 7 degrees of freedom $\{\delta\varphi, \delta T, v, h, h_D, h_0, \vartheta\}$
→ 5 physical variables $\{?\}$

Gauge Freedom



Gauge Freedom



Gauge Freedom

What can we do
with gauge freedom?



We can fix
the gauge?



Or, we can work
with gauge invariant
variables!



Gauge Freedom

What can we do
with gauge freedom?



We can fix
the gauge?



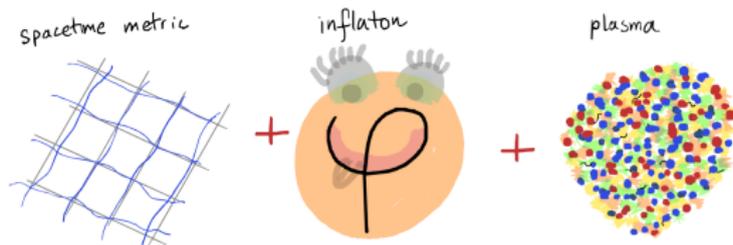
Or, we can work
with gauge invariant
variables!



← This is us!

Goal

- Our main players:



- *Continuously* study their behaviour from **inflation** until the onset of **radiation domination** (*smooth reheating*).
- Do it in a **gauge invariant** way & **no** slow roll!

Gauge invariant variables

- curvature perturbations

$$\begin{array}{l} \text{curvature} \qquad \text{gauge invariance} \\ \underbrace{\hspace{10em}} \quad \underbrace{\hspace{10em}} \\ \mathcal{R}_\varphi \equiv -\left(h_{\text{D}} + \frac{\nabla^2 \vartheta}{3}\right) - \mathcal{H} \frac{\delta\varphi}{\bar{\varphi}'} \\ \mathcal{R}_v \equiv -\left(h_{\text{D}} + \frac{\nabla^2 \vartheta}{3}\right) + \mathcal{H}(h - v) \\ \mathcal{R}_T \equiv -\left(h_{\text{D}} + \frac{\nabla^2 \vartheta}{3}\right) - \mathcal{H} \frac{\delta T}{T'} \end{array}$$

- isocurvature perturbations

$$\mathcal{S}_v \equiv (\bar{e} + \bar{p})(\mathcal{R}_v - \mathcal{R}_\varphi), \quad \mathcal{S}_T \equiv \bar{e}_{,T} \dot{T} (\mathcal{R}_T - \mathcal{R}_\varphi)$$

Evolution equations

To derive evolution equations:

- 1 combine the derived Einstein equations, Langevin equation, and energy-momentum conservation equations
- 2 rewrite them in terms of gauge-invariant variables

$$\begin{bmatrix} \mathcal{R}_\varphi \\ \mathcal{S}_v \\ \mathcal{S}_T \\ \phi \\ \psi \\ -(h_D + \frac{\nabla^2 \vartheta}{3}) \\ \tilde{h} - \vartheta' \end{bmatrix} = \begin{bmatrix} 0 & 0 & -1 & -\frac{\nabla^2}{3} & -\frac{\mathcal{H}}{\bar{\varphi}'} & 0 & 0 \\ 0 & (\bar{e} + \bar{p}) \mathcal{H} & 0 & 0 & \frac{(\bar{e} + \bar{p}) \mathcal{H}}{\bar{\varphi}'} & 0 & -(\bar{e} + \bar{p}) \mathcal{H} \\ 0 & 0 & 0 & 0 & \frac{\partial_T \bar{e}}{\bar{\varphi}'} \mathcal{H} & -H \partial_T \bar{e} & 0 \\ 1 & (\mathcal{H} + \partial_\tau) & 0 & -(\mathcal{H} + \partial_\tau) \partial_\tau & 0 & 0 & 0 \\ 0 & -\mathcal{H} & 1 & (\frac{\nabla^2}{3} + \mathcal{H} \partial_\tau) & 0 & 0 & 0 \\ 0 & 0 & -1 & -\frac{\nabla^2}{3} & 0 & 0 & 0 \\ 0 & 1 & 0 & -\partial_\tau & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} h_0 \\ h \\ h_D \\ \vartheta \\ \delta\varphi \\ \delta T \\ v \end{bmatrix}$$

- 3 express in terms of comoving momentum k and physical time t
- 4 simplify

Full Equations

Derived evolution equations:

$\frac{k}{a}$ = physical momentum

k = comoving momentum

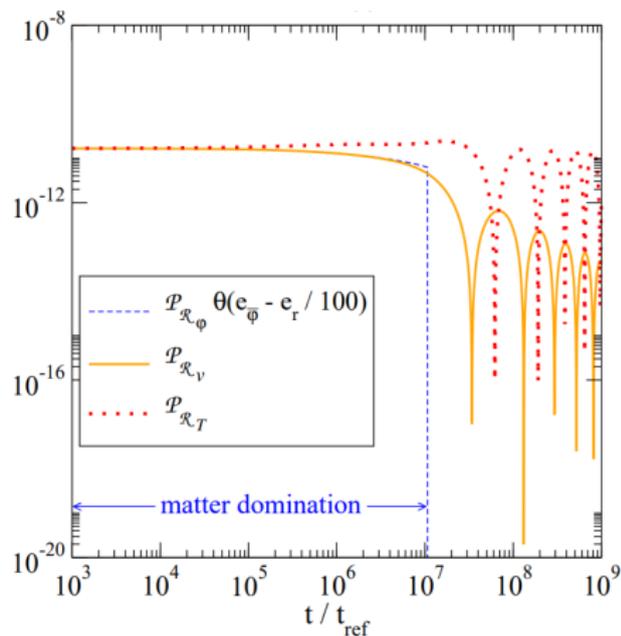
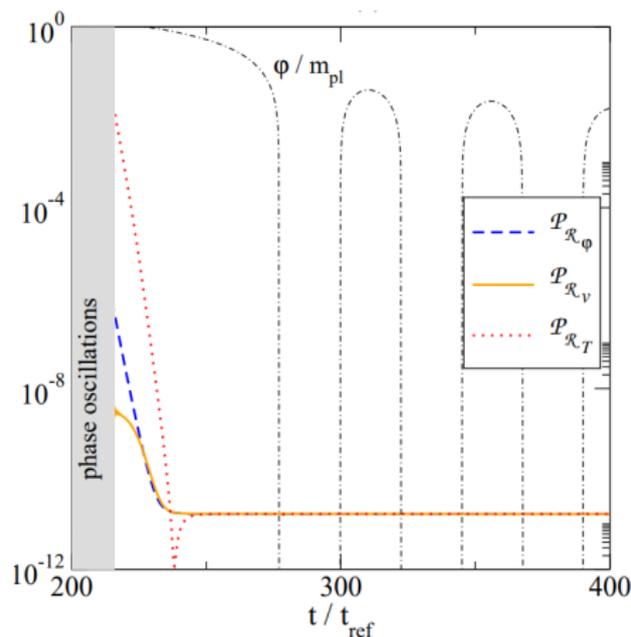
$$\ddot{\mathcal{R}}_\varphi = -\frac{\varrho H}{\dot{\varphi}} - \dot{\mathcal{R}}_\varphi [\Upsilon + 3H + 2\mathcal{F}] - \mathcal{R}_\varphi \left[\frac{k^2}{a^2} \right] + S_v \left[\frac{4\pi G(\Upsilon + 2\mathcal{F})}{H} \right] - S_T \left[\frac{4\pi G}{H} \left(1 - \frac{\bar{p}_{,T}}{\bar{e}_{,T}} \right) + \frac{V_{,\varphi T} + \Upsilon_{,T} \dot{\varphi}}{\dot{\varphi} \bar{e}_{,T}} \right],$$

$$\dot{S}_v = -\dot{\mathcal{R}}_\varphi [\bar{e} + \bar{p}] - S_v \left[3H + \frac{4\pi G \dot{\varphi}^2}{H} \right] + S_T \left[\frac{\bar{p}_{,T}}{\bar{e}_{,T}} \right],$$

$$\begin{aligned} \dot{S}_T = & \varrho \dot{\varphi} H + \dot{\mathcal{R}}_\varphi \left[\Upsilon \dot{\varphi}^2 + \frac{8\pi G \bar{e} (\bar{e} + \bar{p})}{H} \right] - \mathcal{R}_\varphi \left[(\bar{e} + \bar{p}) \frac{k^2}{a^2} \right] \\ & - S_v \left[\frac{k^2}{a^2} + \frac{4\pi G}{H} \left(\Upsilon \dot{\varphi}^2 + \frac{8\pi G \bar{e} (\bar{e} + \bar{p})}{H} \right) \right] \\ & + S_T \left[\frac{\dot{H} - 4\pi G (\bar{e} + \bar{p})}{H} - 3H \left(1 + \frac{\bar{p}_{,T}}{\bar{e}_{,T}} \right) + \frac{(V_{,\varphi T} + \Upsilon_{,T} \dot{\varphi}) \dot{\varphi}}{\bar{e}_{,T}} \right]. \end{aligned}$$

Solutions

- power spectrum $\mathcal{P}_{\mathcal{R}_\varphi} \equiv \frac{k^3}{2\pi^2} |\mathcal{R}_\varphi|^2$



- regimes: phase oscillations, horizon exit and re-entry (overdamped regime), acoustic oscillations

Summary and Outlook

- summary:
 - model-independent, gauge-invariant equations obtained
 - power spectrum obtained smoothly from inflation until radiation domination
- immediate next steps:
 - ① add noise ρ , compute observables
 - ② compare to literature (WI2easy, WarmSPy,...)
- planned follow up: scalar-induced gravitational waves



Bonus slides

- gauge invariant variables
- initial conditions
- recap
- background
- analytical simplifications
- overdamped regime
- radiation domination
- singularities
- background averaging
- benchmarks
- changing friction: background
- changing friction: perturbations
- connection to data?

Gauge invariant variables

EXAMPLE: Check gauge invariance of R_φ

Gauge transformations:

$$\begin{aligned} h_0 &\rightarrow h_0 - \xi^{0'} - \mathcal{L}\xi^0 \\ h &\rightarrow h + \xi^0 + \xi^1 \\ h_D &\rightarrow h_D + \mathcal{L}\xi^0 - \frac{\nabla^2 \xi}{3} \\ \vartheta &\rightarrow \vartheta + \xi \\ \delta\varphi &\rightarrow \delta\varphi - \bar{\varphi}' \xi^0 \\ \delta T &\rightarrow \delta T - T' \xi^0 \\ v &\rightarrow v + \xi^1 \end{aligned}$$

our equations are invariant under these!

Check R_φ :

$$R_\varphi = -\left(h_D + \frac{\nabla^2 \vartheta}{3}\right) - \mathcal{L} \frac{\delta\varphi}{\bar{\varphi}'}$$

$$\begin{aligned} R_\varphi &\rightarrow -\left(h_D + \mathcal{L}\xi^0 - \frac{\nabla^2 \xi}{3} + \frac{\nabla^2 \vartheta}{3} + \frac{\nabla^2 \xi}{3}\right) \\ &\quad - \mathcal{L} \frac{\delta\varphi}{\bar{\varphi}'} + \mathcal{L} \frac{\bar{\varphi}'}{\bar{\varphi}'} \xi^0 \\ &= -\left(h_D + \frac{\nabla^2 \vartheta}{3}\right) - \mathcal{L} \frac{\delta\varphi}{\bar{\varphi}'} \end{aligned}$$

$$R_\varphi \rightarrow R_\varphi \quad \checkmark$$

Initial conditions

- very early times: Bunch-Davies vacuum,
 $\delta\varphi'' + (k^2 + \hat{m}^2)\delta\varphi = 0$

- mode expansion solution

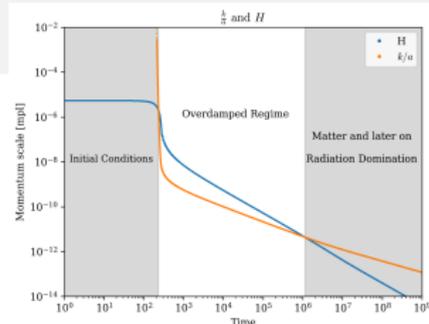
$$\delta\varphi(\tau, \mathbf{x}) = \int \frac{d^3\mathbf{k}}{\sqrt{(2\pi)^3}} \left[w_{\mathbf{k}} \delta\varphi_{\mathbf{k}}(\tau) e^{i\mathbf{k}\cdot\mathbf{x}} + w_{\mathbf{k}}^\dagger \delta\varphi_{\mathbf{k}}^*(\tau) e^{-i\mathbf{k}\cdot\mathbf{x}} \right]$$

- normalize according to canonical commutation relations + choose forward-propagating modes

- complex initial conditions:

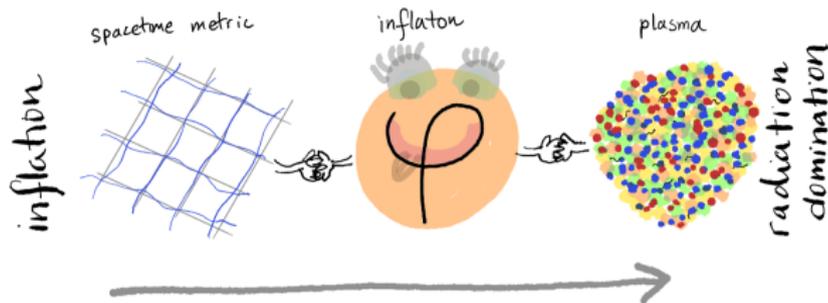
$$\mathcal{R}_\varphi(t_1) \approx -\frac{H}{\dot{\varphi}} \frac{1}{2\pi} \frac{k}{a_1} \quad \mathcal{R}_\dot{\varphi}(t_1) \approx \frac{H}{\dot{\varphi}} \frac{i}{2\pi} \frac{k^2}{a_1^2}$$

- the (only) source of quantum mechanics (evolution classical)



Recap slide

- setting:



- a set of gauge invariant variables

- evolution equations

- at the beginning, inflaton dominates
- during radiation domination, plasma evolves on its own

What happened so far?



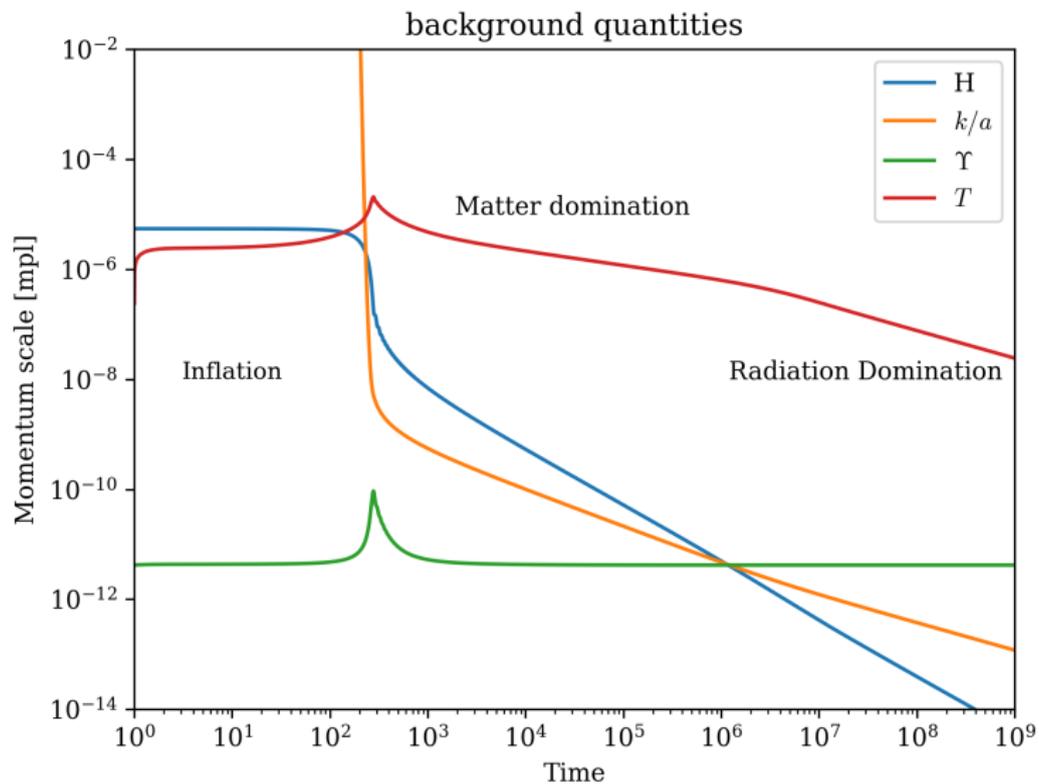
curvature gauge invariance

$$\mathcal{R}_\varphi \equiv -\left(h_D + \frac{\nabla^2 \vartheta}{3}\right) - \mathcal{H} \frac{\delta\varphi}{\varphi'}$$

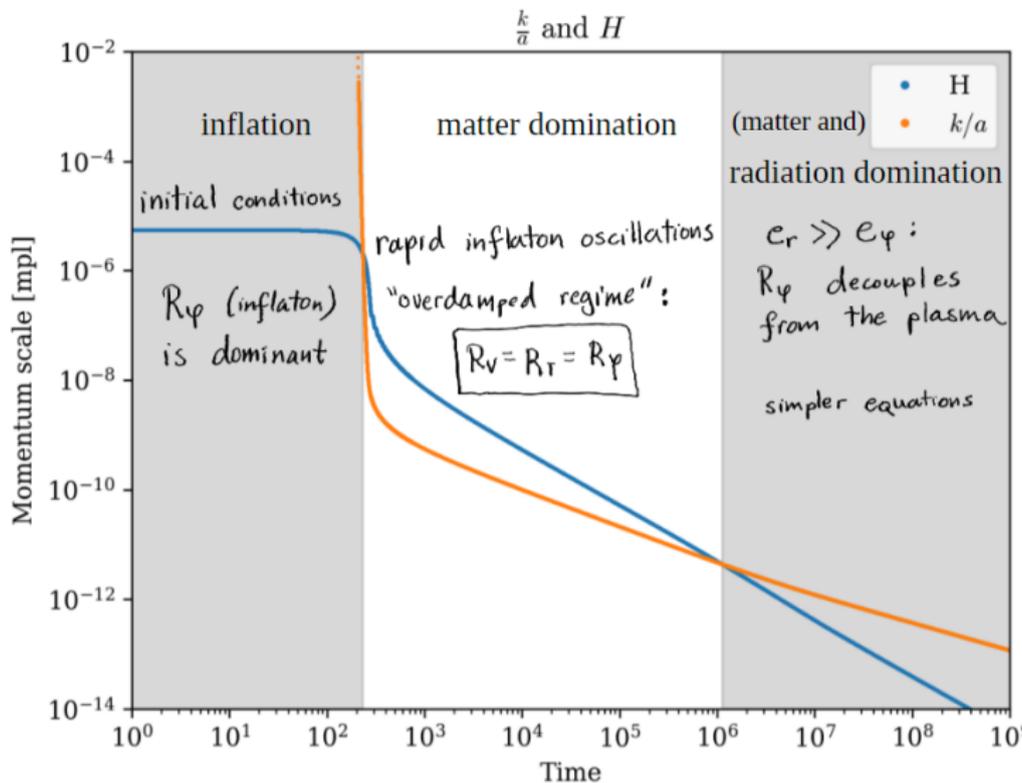
$$\mathcal{R}_v \equiv -\left(h_D + \frac{\nabla^2 \vartheta}{3}\right) + \mathcal{H}(h - v)$$

$$\mathcal{R}_T \equiv -\left(h_D + \frac{\nabla^2 \vartheta}{3}\right) - \mathcal{H} \frac{\delta T}{T'}$$

Background

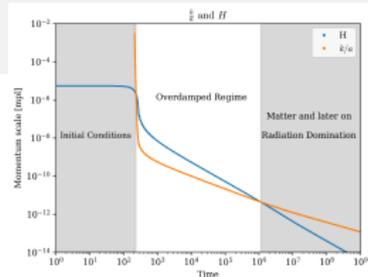


Analytical simplifications



”Overdamped regime”: $\frac{k}{a} \ll H$

- simplified: $(\partial_t^2 + 3H\partial_t + \frac{k^2}{a^2})\delta\varphi \approx 0$
- look for a stationary solution
- assume $\rho = 0$ (weak regime)



$$\ddot{\mathcal{R}}_\varphi = -\frac{\varrho H}{\dot{\varphi}} - \dot{\mathcal{R}}_\varphi [\Upsilon + 3H + 2\mathcal{F}] - \mathcal{R}_\varphi \left[\frac{k^2}{a^2} \right] + \mathcal{S}_v \left[\frac{4\pi G(\Upsilon + 2\mathcal{F})}{H} \right] - \mathcal{S}_T \left[\frac{4\pi G}{H} \left(1 - \frac{\bar{p}_{,T}}{\bar{e}_{,T}} \right) + \frac{V_{,\varphi T} + \Upsilon_{,T}\dot{\varphi}}{\dot{\varphi}\bar{e}_{,T}} \right]$$

$$\dot{\mathcal{S}}_v = -\dot{\mathcal{R}}_\varphi [\bar{e} + \bar{p}] - \mathcal{S}_v \left[3H + \frac{4\pi G\dot{\varphi}^2}{H} \right] + \mathcal{S}_T \left[\frac{\bar{p}_{,T}}{\bar{e}_{,T}} \right]$$

$$\dot{\mathcal{S}}_T = \varrho\dot{\varphi}H + \dot{\mathcal{R}}_\varphi \left[\Upsilon\dot{\varphi}^2 + \frac{8\pi G\bar{e}(\bar{e} + \bar{p})}{H} \right] - \mathcal{R}_\varphi \left[(\bar{e} + \bar{p}) \frac{k^2}{a^2} \right] - \mathcal{S}_v \left[\frac{k^2}{a^2} + \frac{4\pi G}{H} \left(\Upsilon\dot{\varphi}^2 + \frac{8\pi G\bar{e}(\bar{e} + \bar{p})}{H} \right) \right] + \mathcal{S}_T \left[\frac{\dot{H} - 4\pi G(\bar{e} + \bar{p})}{H} - 3H \left(1 + \frac{\bar{p}_{,T}}{\bar{e}_{,T}} \right) + \frac{(V_{,\varphi T} + \Upsilon_{,T}\dot{\varphi})\dot{\varphi}}{\bar{e}_{,T}} \right]$$

- overconstrained system: $\mathcal{S}_v = \mathcal{S}_T = 0 \Rightarrow \mathcal{R}_\varphi = \mathcal{R}_v = \mathcal{R}_T$

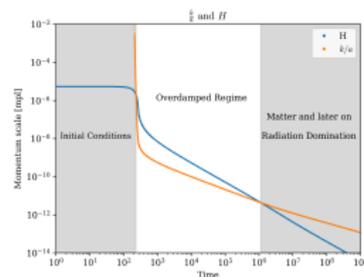
Radiation domination

- during radiation domination, $e_r \gg e_\phi$:

$$\dot{\mathcal{R}}_v = \frac{\dot{\bar{p}}}{\bar{e} + \bar{p}} (\mathcal{R}_T - \mathcal{R}_v) ,$$

$$\dot{\mathcal{R}}_T = \frac{\mathcal{R}_v}{3H} \frac{k^2}{a^2} - \frac{4\pi G(\bar{e} + \bar{p})}{H} (\mathcal{R}_T - \mathcal{R}_v) .$$

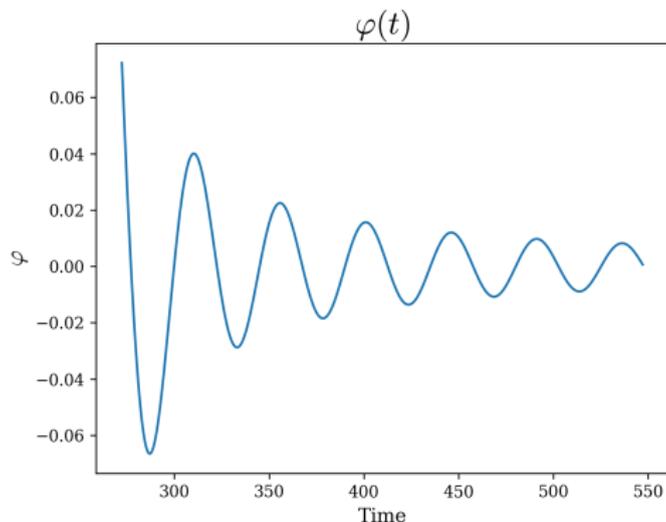
- \mathcal{R}_ϕ decouples (plasma evolves independently of the inflaton)



$$e_\phi = \frac{\dot{\bar{\phi}}^2}{2} + V$$
$$e_r = \frac{g_* \pi^2 T^4}{30}$$

Singularities

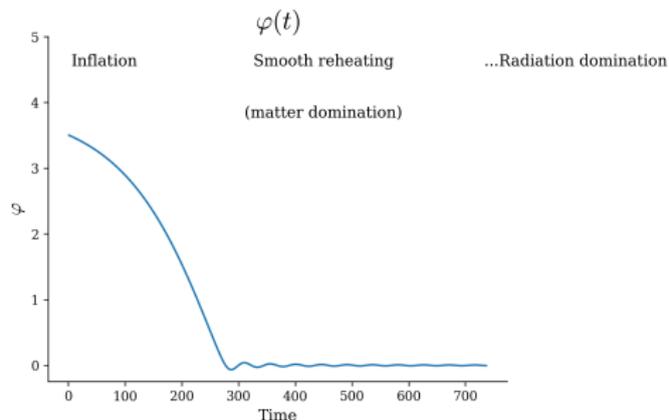
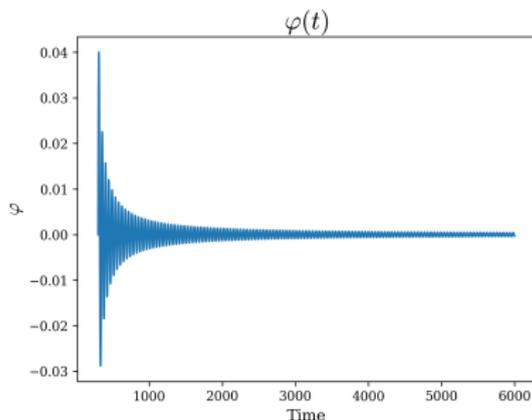
- equations include $\mathcal{F} \supset \frac{\ddot{\varphi}}{\dot{\varphi}}$, but $\dot{\varphi}$ can be 0 \rightarrow problem!



- solution: $\frac{1}{\dot{\varphi}} \longrightarrow \frac{1}{\dot{\varphi} + i\delta}$ (we are in complex field space)

Background averaging

- fast inflaton oscillations in matter domination



- switch to average variable: $e_{\bar{\varphi}} \equiv \frac{\dot{\bar{\varphi}}^2}{2} + V$

Benchmarks

- Our (non-Abelian axion inflation framework inspired) choice:

- natural inflation¹: $V = m^2 f_a^2 [1 - \cos(\frac{\varphi}{f_a})]$

$$f_a = 1.25 m_{\text{pl}}, \quad m = 1.09 \times 10^{-6} m_{\text{pl}}$$

- weakly coupled Yang-Mills plasma:

$$e_r = \frac{g_* \pi^2 T^4}{30}, \quad p_r = \frac{g_* \pi^2 T^4}{90}, \quad g_* = 16$$

- friction coefficient:²

$$\Upsilon \equiv \frac{\kappa_T (\pi T)^3 + \kappa_m m^3}{(4\pi)^3 f_a^2} \quad \begin{array}{l} \kappa_T: \text{thermal scattering} \\ \kappa_m: \text{vacuum decays} \end{array}$$

easy illustration values: $\kappa_T = 10^6$, $\kappa_m = 10^{10}$

¹Freese et al, Phys. Rev. Lett. 65, 3233 (1990)

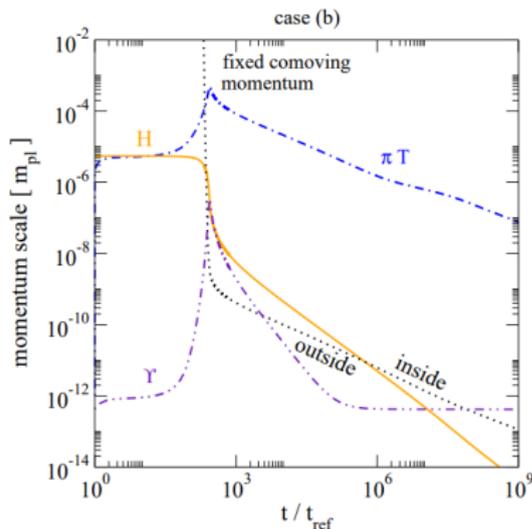
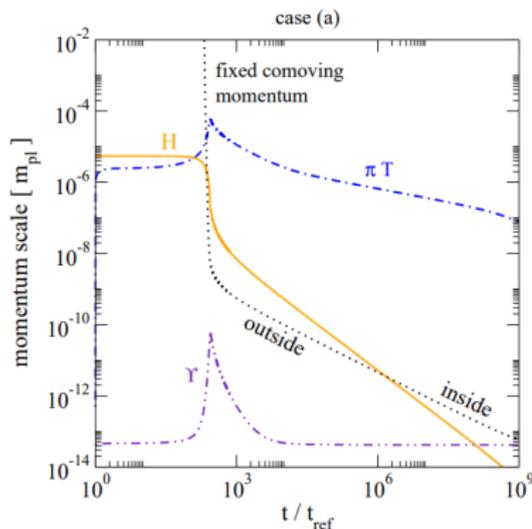
²Laine et al, J. High Energ. Phys. 2022, 126 (2022)

Changing friction coefficient, background

- recall: $\Upsilon \equiv \frac{\kappa_T (\pi T)^3 + \kappa_m m^3}{(4\pi)^3 f_a^2}$

- A) smaller $\kappa_m \rightarrow$
longer matter domination

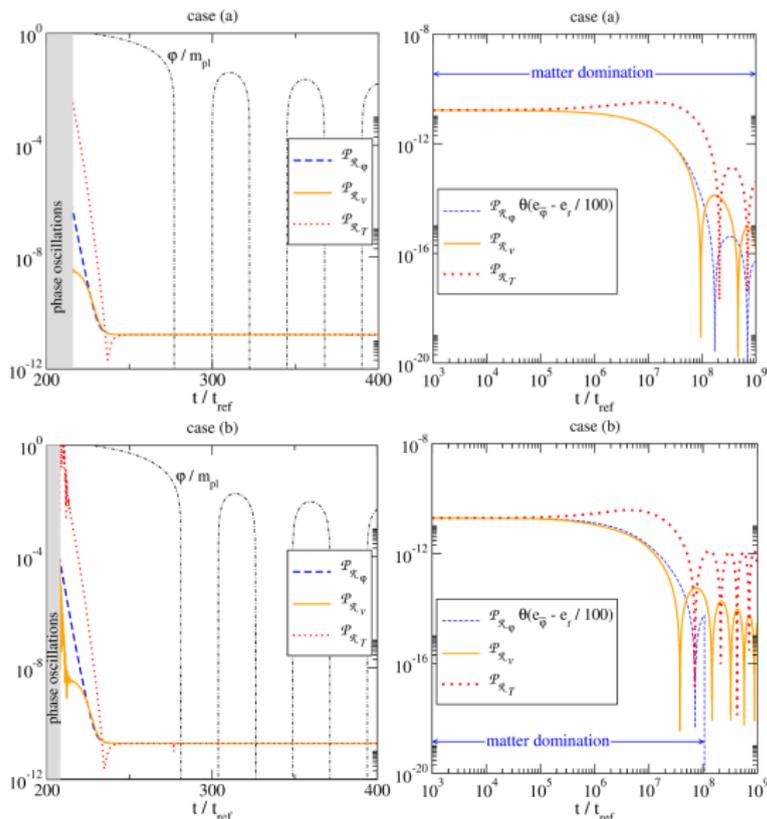
- B) larger $\kappa_T \rightarrow$
higher T_{\max} , $\Upsilon > H$ twice



Changing friction coefficient, perturbations

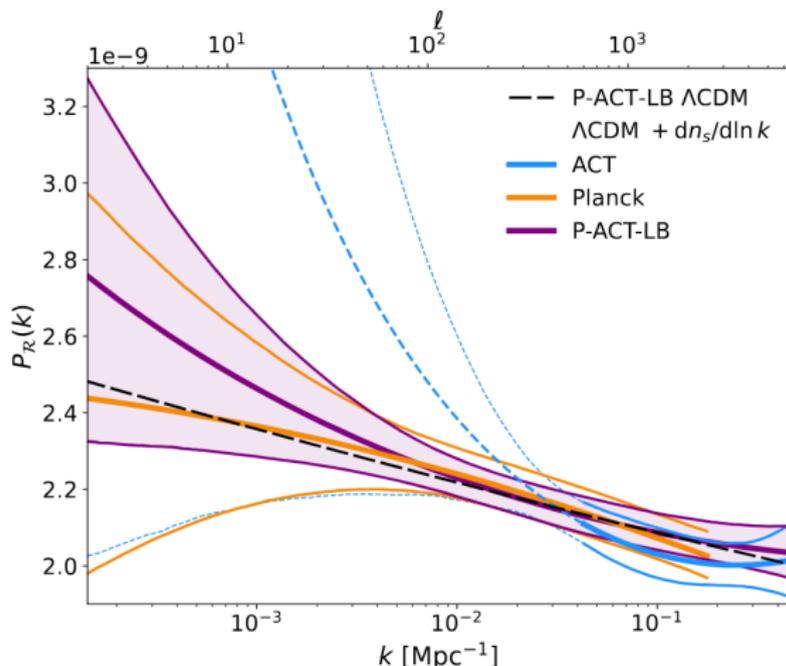
Ⓐ smaller $\kappa_m \rightarrow$
longer matter
domination

Ⓑ larger $\kappa_T \rightarrow$
higher T_{\max} ,
 $\Upsilon > H$ twice



Connection to data?

- One can for example compute n_s , A_s , r (& compare to data³)



³Calabrese et al, *The Atacama Cosmology Telescope: DR6 Constraints on Extended Cosmological Models*. Mar 2025.