

# *ML tools for a 3D highly segmented plastic scintillator*

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Work conducted under the supervision of  
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# Summary



## **I. Introduction**

Overview of such experiment



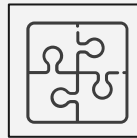
## **II. Hit tagging**

Task of tagging the hits in SFGD



## **III. Track fitting**

Task of fitting the trajectory points



## **IV. Vertex activity**

Task of decomposing the vertex activity



## **V. Conclusion**

Key takeaways of the projects

*I.* 

# Introduction



Overview of such experiment

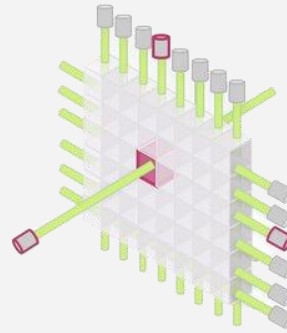


# I.A Case study: SFGD

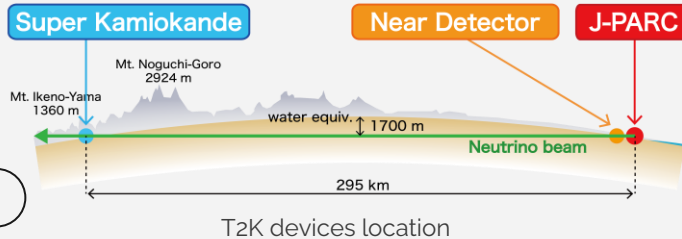
## Composition

Plastic scintillator cubes with 3 axis optical fibres

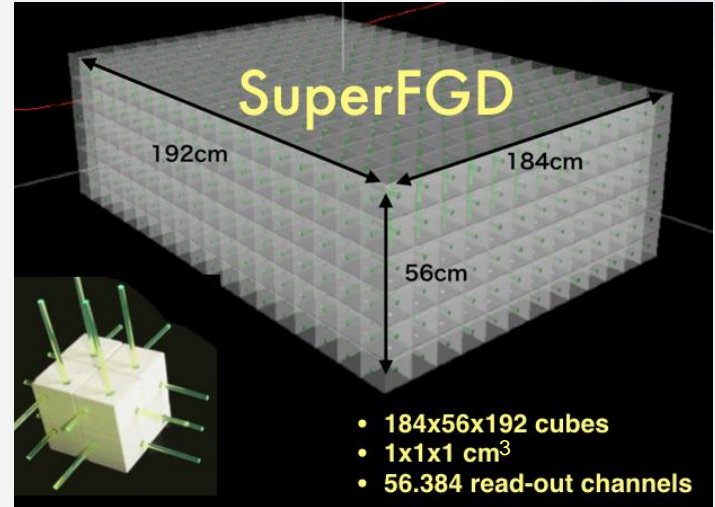
T2K Near Detector upgrade : reduce systematic uncertainties in search for CP violation



SFGD readout principle



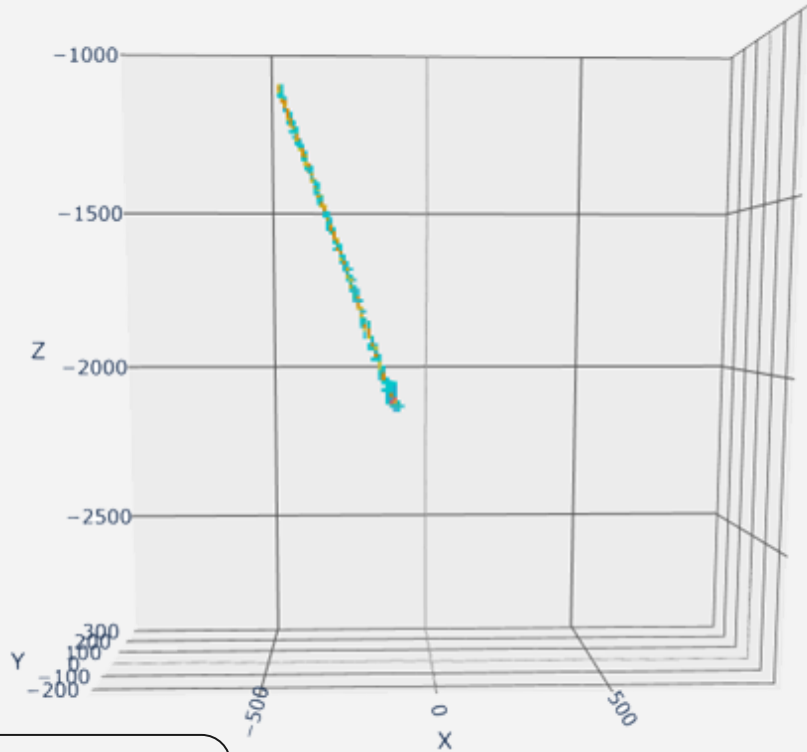
T2K devices location



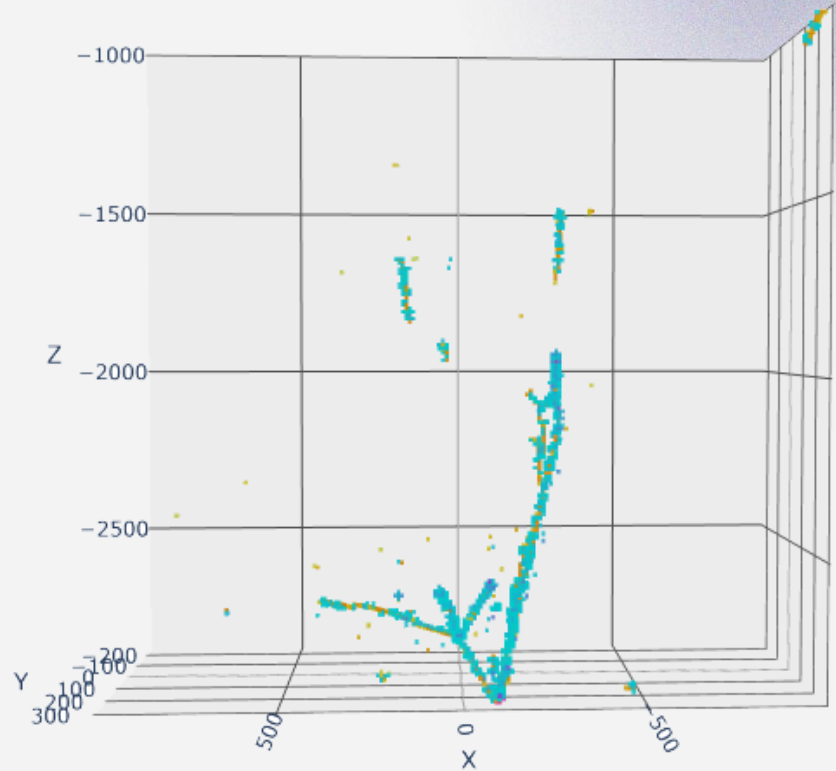
SFGD overview



# *I.B* Simulated data



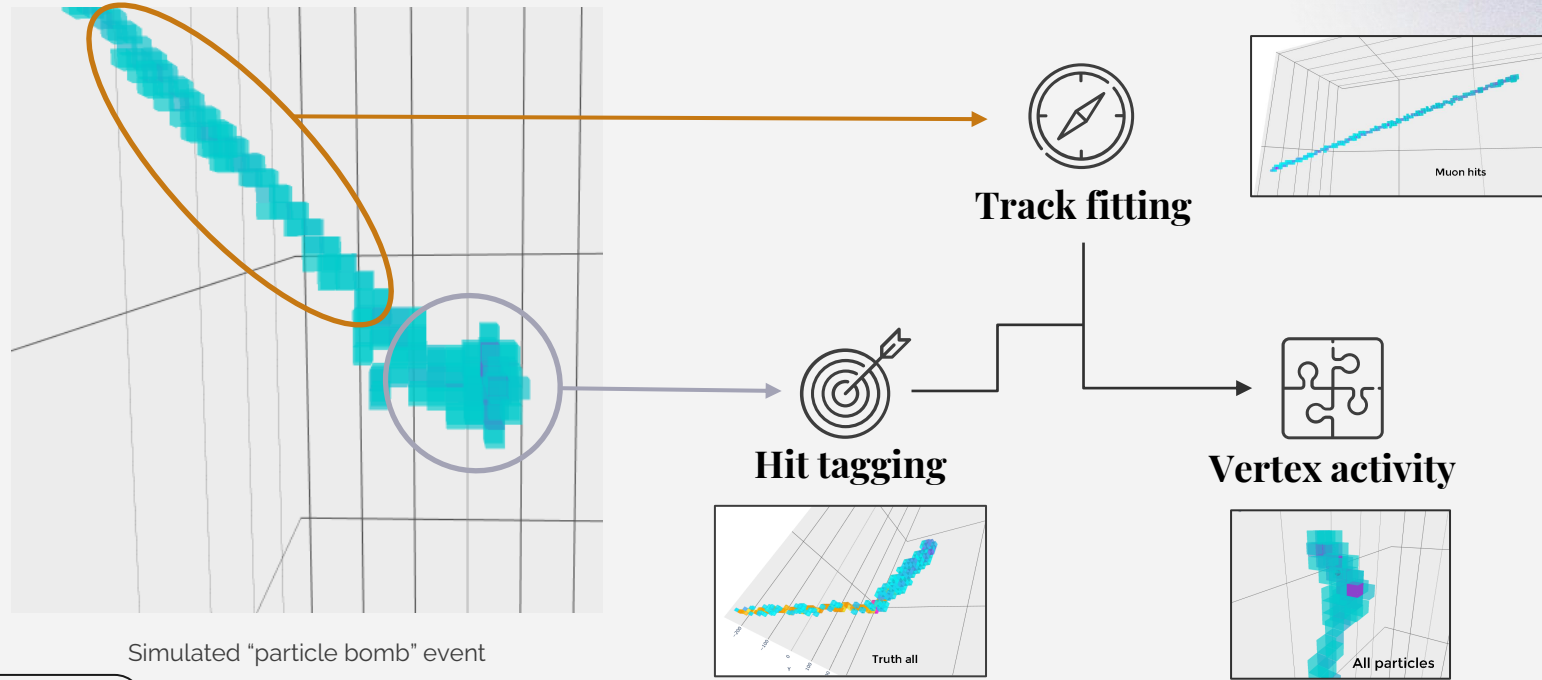
Simulated CCQE event display



Simulated CCDIS event display



# I.C Strategy for our ML tools



## *II.*

# Hit tagging



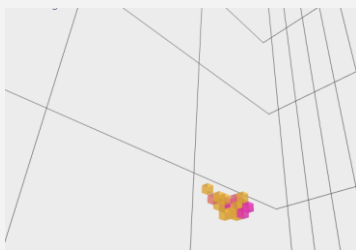
Identify the vertex hits with multiple particles, separate noise hits



## II.A Definition of the tags

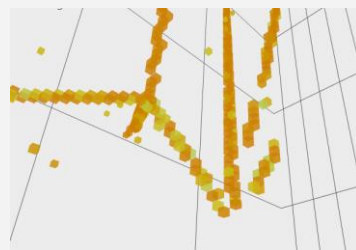
### Vertex activity hit

Energy contribution to the hit from two different primary particles + adjacent hits



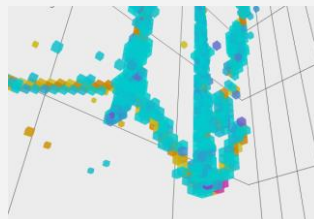
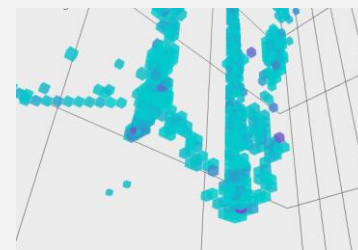
### Track hit

A non vertex activity hit with a particle crossing it



### Noise hit

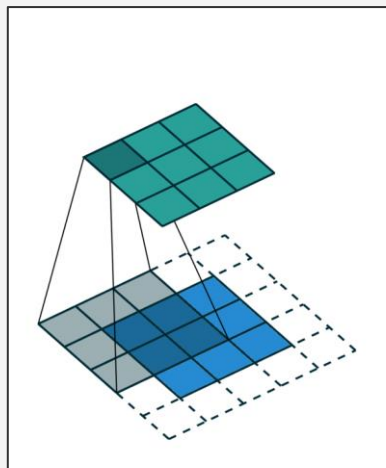
A hit with no particle crossing it



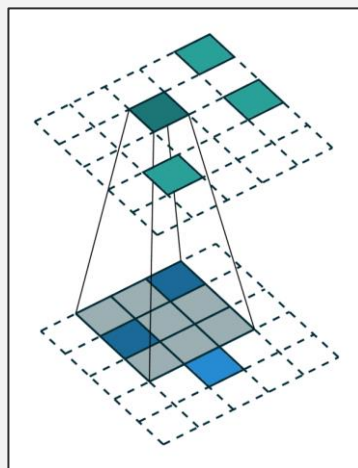




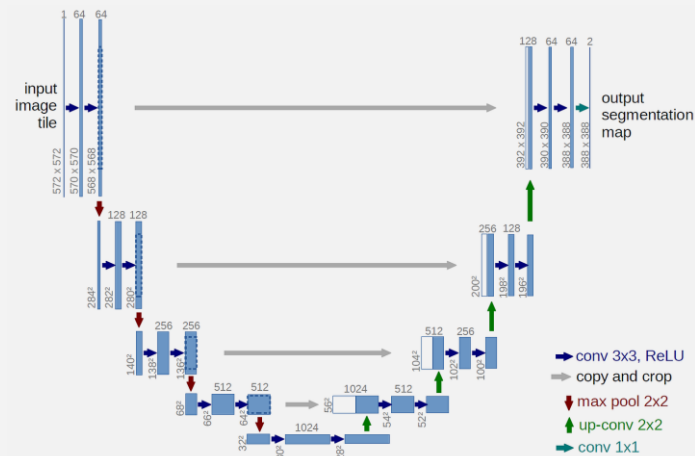
# II.B Architecture chosen: Sparse CNN U-net



Convolution on a dense Tensor



Convolution on a sparse Tensor



Example of U-net

**Very sparse data:** *Lots of memory and speed gains with sparse convolutions!*



## II.C Results of hit tagging

### *Definition of the relevant metrics*

#### **Precision**

How precise is the model in its predictions?

Out of the predicted labels X, how many are true label X

$$\text{Precision}_x := \frac{\text{True Positive}_x}{\text{True Positive}_x + \text{False Positive}_x}$$

#### **Recall**

Does the model retrieves all true labels?

Out of true labels X, how many are also predicted as label X

$$\text{Recall}_x := \frac{\text{True Positive}_x}{\text{True Positive}_x + \text{False Negative}_x}$$

#### **F1-score**

Combination of precision and recall

Harmonic mean of precision and recall

$$f1_x := \frac{1}{\frac{1}{\text{Precision}_x} + \frac{1}{\text{Recall}_x}}$$



## II.C Results of hit tagging

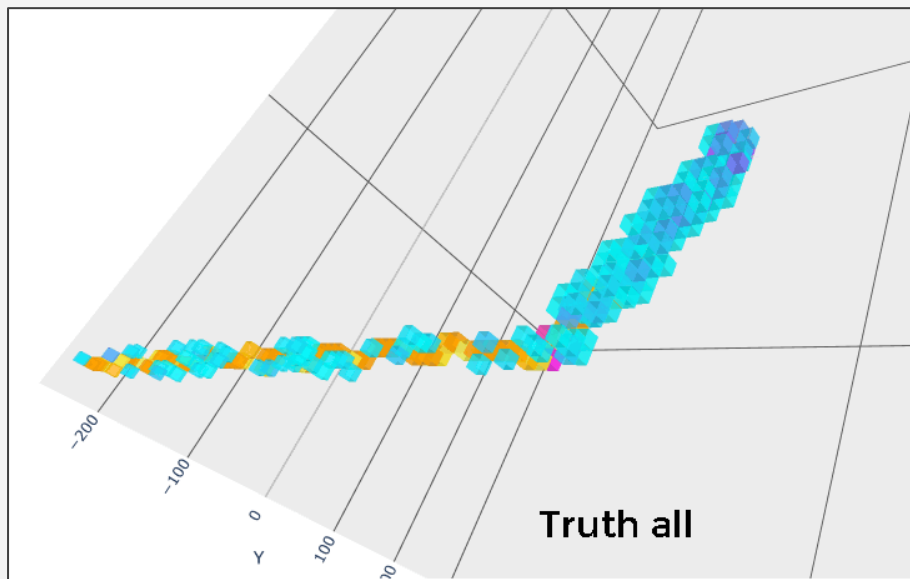
*Comparison between a baseline cut, a decision tree and a Sparse CNN*

Metric	Precision			Recall			Support
Model	Fixed volume	Decision tree	Sparse CNN	Fixed volume	Decision tree	Sparse CNN	
Vertex Activity	0.35	0.39	0.63	0.54	0.56	0.67	1.65%
Tracks	0.99	0.83	0.89	0.98	0.89	0.89	34.5%
Noise		0.95	0.94		0.91	0.94	63.9%
<b>Macro average</b>	<b>0.67</b>	<b>0.73</b>	<b>0.82</b>	<b>0.76</b>	<b>0.78</b>	<b>0.83</b>	<b>100%</b>



## II.C Results of hit tagging

*The model identifies the vertex activity hits*



Example of a simulated CCQE event

# II Hit tagging

## *Key takeaway*

Metric	F1-score		
Model	Fixed volume	Decision tree	Sparse CNN
Macro average	0.71	0.75	0.83

*Sparse CNN outperforms traditional methods in hit tagging and identifies precisely the vertex activity*

*Given the hits of a particle,  
how to reconstruct its trajectory path ?*

# *III.*

# Track fitting

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Reconstruct the precise trajectory of  
particles inside the detector

**Original paper:**

Artificial intelligence for improved fitting of trajectories of elementary particles in dense materials immersed in a magnetic field,  
<https://www.nature.com/articles/s42005-023-01239-4>

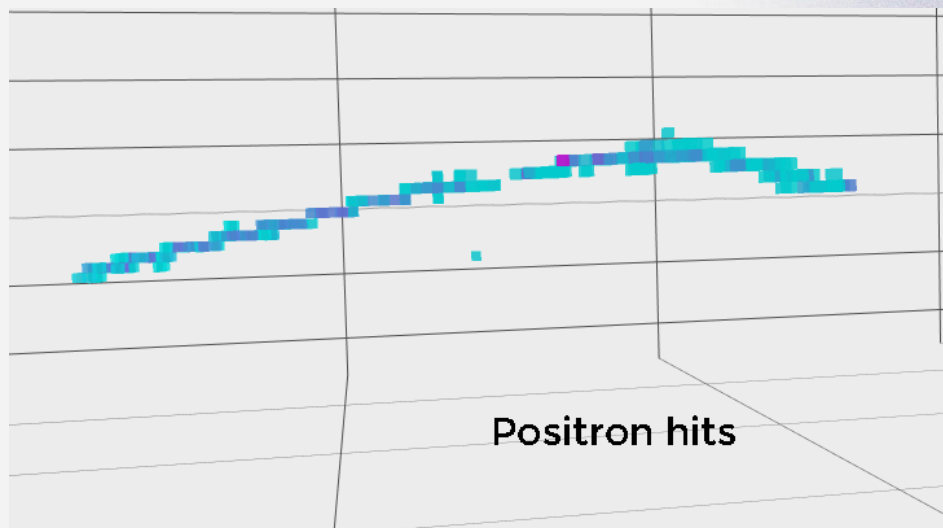


## III.A Tracks inside SFGD

### What is the task ?

From the hits of a particle, find back its precise trajectory.

⇒ Predict the closest trajectory point of each hit.



Hits and track of a simulated positron

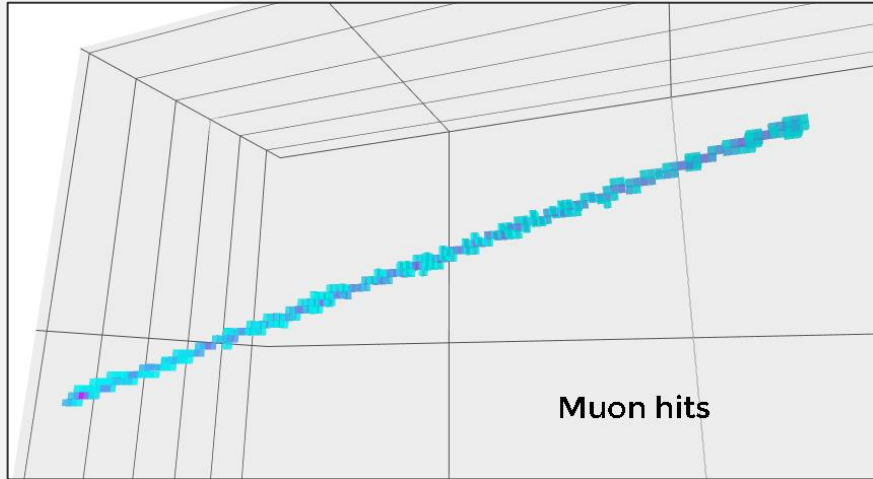
*“Particle gun” dataset generated with Geant4  
Agnostic of Neutrino interaction simulation*



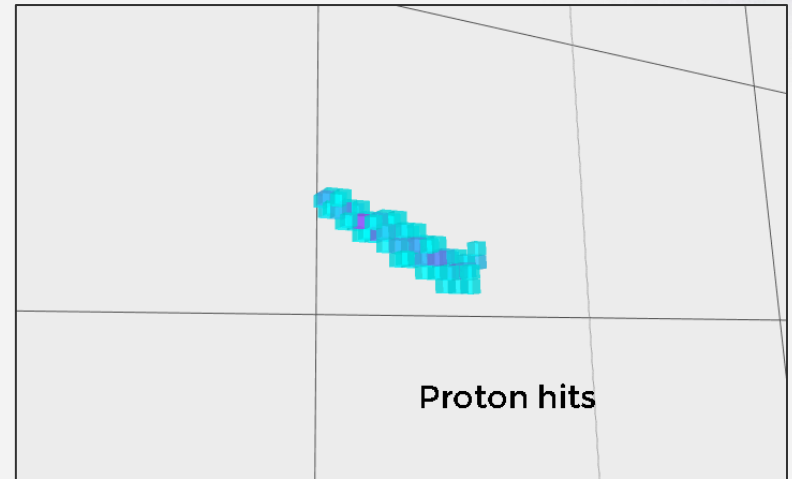


# III.A Tracks inside SFGD

*Long and short tracks*



Hits and track of a simulated muon

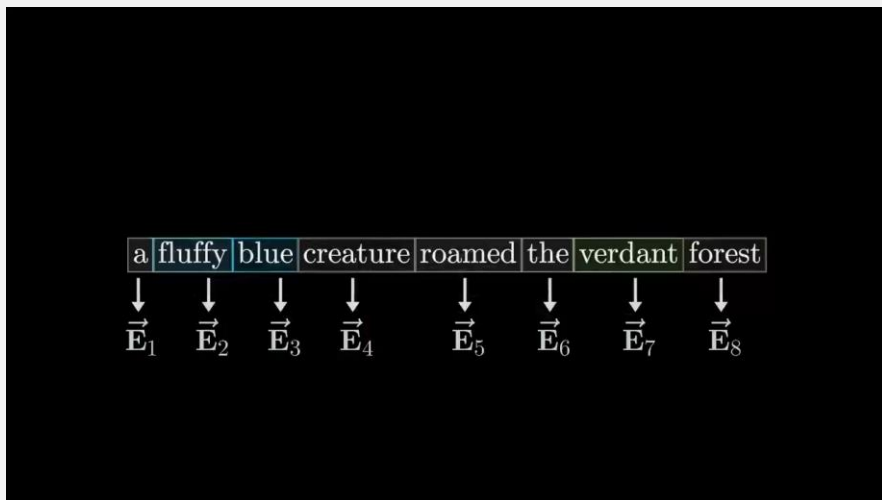


Hits and track of a simulated proton

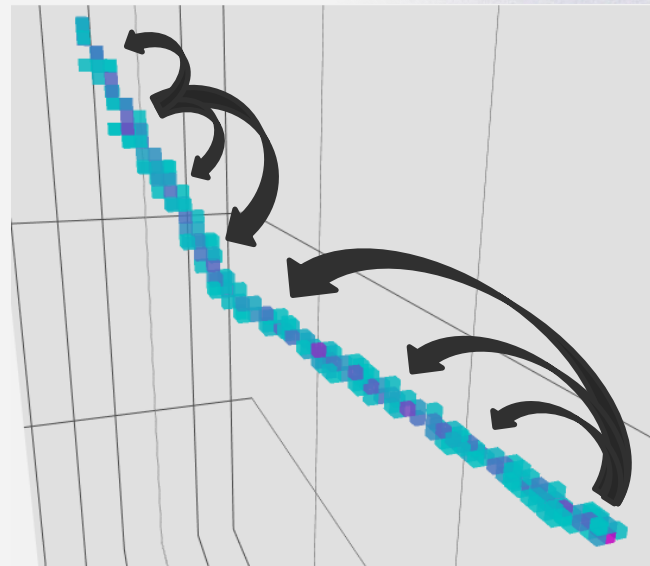


# III.B Models and results

## *Transformers!*



The attention mechanism explained: an accelerated extract from 3Blue1Brown Youtube video

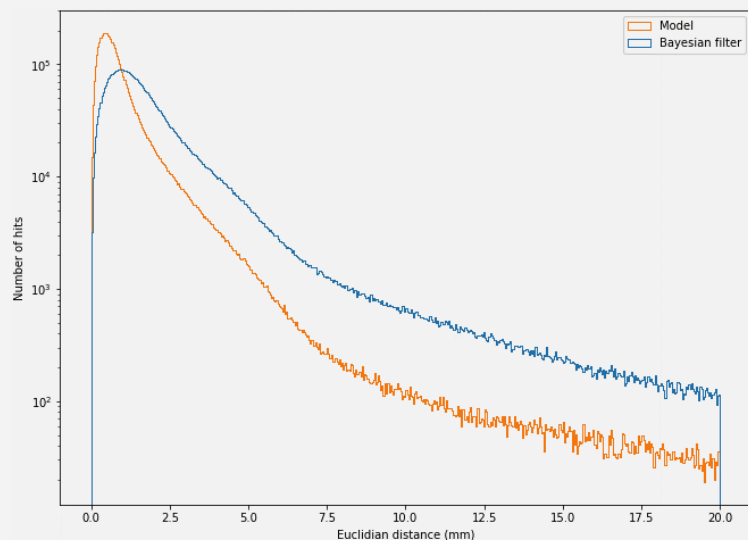


Example of a pion track



## III.B Models and results

*Our model is twice better than a traditional Bayesian filter*



Distributions of the Euclidian 3D distances between the true and predicted points for our transformer model (blue) and a traditional Bayesian filter (orange)

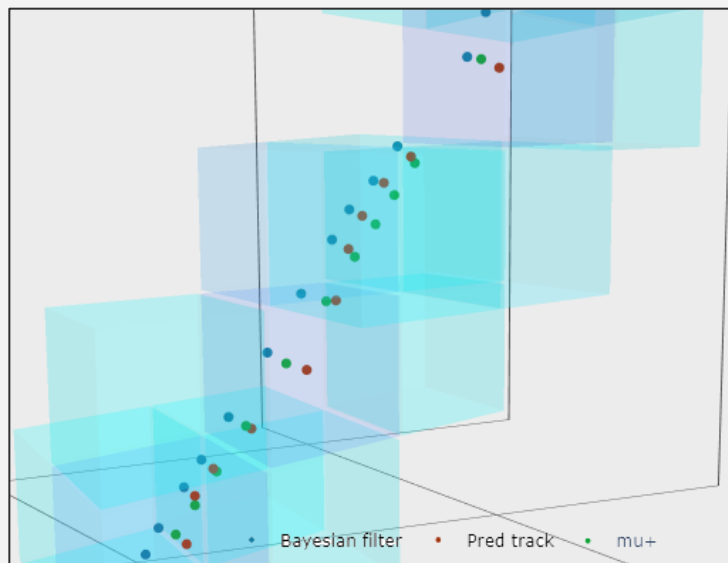
Euclidian distance			
Model	$\sigma := 68\%$	Mean	$2\sigma := 95\%$
Bayesian Filter	2.04 mm	2.56 mm	5.69 mm
Transformer	0.97 mm	1.21 mm	3.15 mm

68% quantile, mean and 95% quantile of the distributions of Euclidian distances between the true and predicted points for our transformer model and a traditional Bayesian filter



## III.B Models and results

*Slight improvement in the direction estimation*



Example of predicted points for a muon track

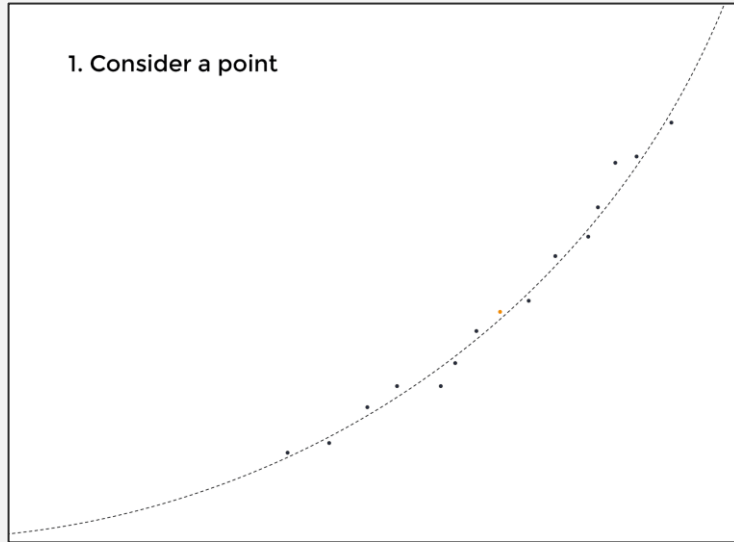
Angular distance			
Model	$\sigma := 68\%$	Mean	Wrong direction
Bayesian Filter	1.89°	5.56°	2.15%
Transformer	1.03°	5.79°	2.61%

Angular distance between the reconstructed and true direction from predicted trajectory points. *Wrong direction* corresponds to reconstructed direction with opposite sign

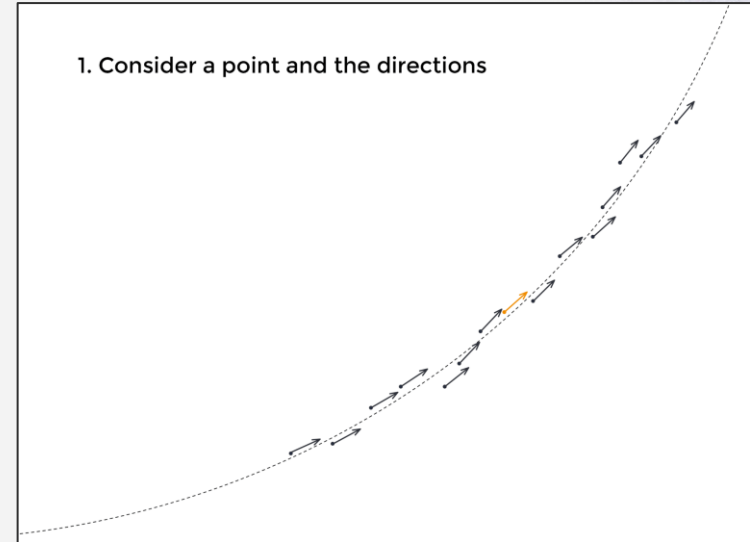


# III.C Application: Charge identification

*Estimate direction and curvature*



Direction estimation from predicted trajectory points



Curvature estimation from estimated directions



# III.C Application: Charge identification

*Slight improvement with the transformer model*

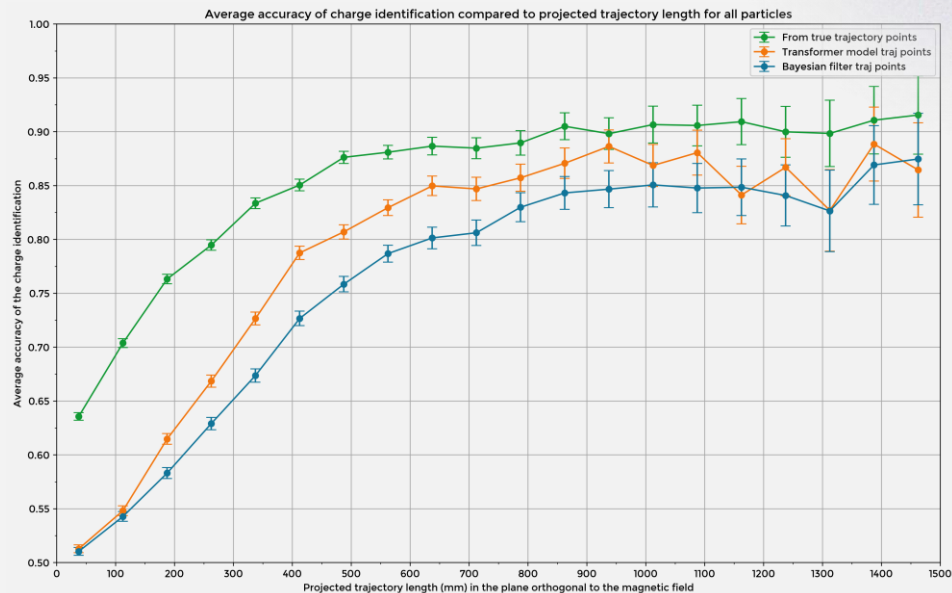
## Results

The accuracy depends strongly on the trajectory length

The maximum accuracy is limited even with the true trajectory points

Trajectory points	Bayesian filter	Transformer	Truth
F1-score	0.71	0.75	0.83

Weighted f1-score over all particles of the test set



Charge identification accuracy from true trajectory points (green), transformer model predicted points (orange) and Bayesian filter points (blue) compared to the trajectory length orthogonal to the magnetic field.



# III Track fitting

## *Key takeaway*

Distance to true points		
Model	Bayesian filter	Transformer
$\sigma := 68\%$	2.04 mm	0.97 mm

*Machine Learning models are twice more precise than a Bayesian filter for fitting the trajectory of particles from their hits*

*Given the vertex activity hits,  
how to reconstruct the particles involved ?*



# IV.

## Vertex activity



Find back the particles involved in the  
vertex activity region

**Original paper:**

Deep-learning-based decomposition of overlapping-sparse images: application at the vertex of simulated neutrino interactions  
<https://www.nature.com/articles/s42005-024-01669-8>



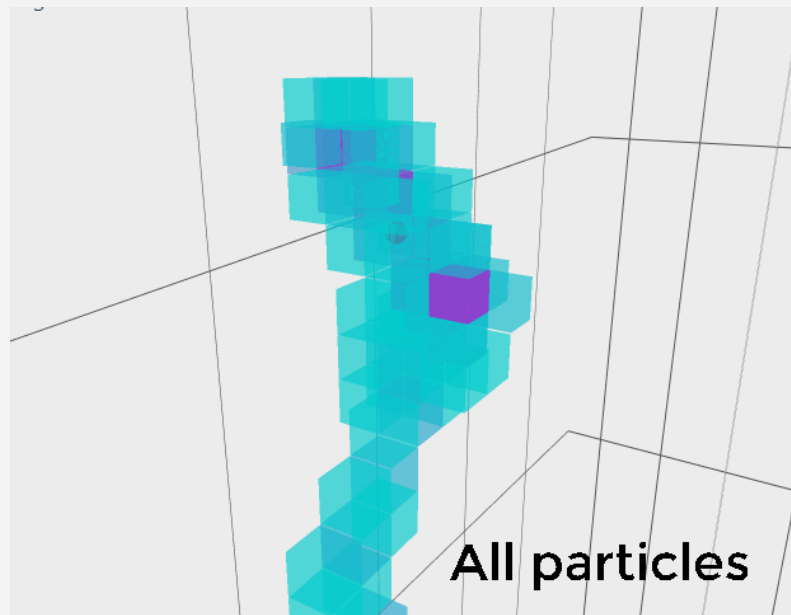
## IV.A Context of the problem

### Vertex activity region

Superposition of multiple particles

⇒ Difficulties to reconstruct the energy

### “Unbiased” dataset



Simulated CC  $\pi^0$  event with a muon and two proton.  
The vertex position is shown with a red dot

*“Particle bomb” dataset generated with Geant4*



## IV.A Context of the problem

### Traditional approach

Approximation formula of the reconstructed energy based on the energy deposition and proton length.

Doesn't account for number, kinetic energy and type of particles

$$VisE_{reco}[\text{MeV}] = \frac{1}{1 - C_B \frac{E_{cali}}{\Delta X}} \cdot E_{cali}$$

- $C_B$ : Birks coefficient, equal to 0.0126 cm/MeV.
- $E_{cali}$ : represents a relation equal to  $\frac{E_{loss[p.e.]}}{c_{cali}}$ , where  $E_{loss[p.e.]}$  is the total deposited energy (in photoelectrons), and  $c_{cali}$  is a calibration factor equal to 100 p.e./MeV.
- $\Delta X$ : approximate length of the longest proton, in millimetres.

Energy reconstruction approximation formula



## IV.A Context of the problem

### Differences with current study

- Different simulation environment
- Lower cross talk rate
- No neutrons (only protons)
- Lower energy range (0-60 MeV instead of 0-100 MeV)



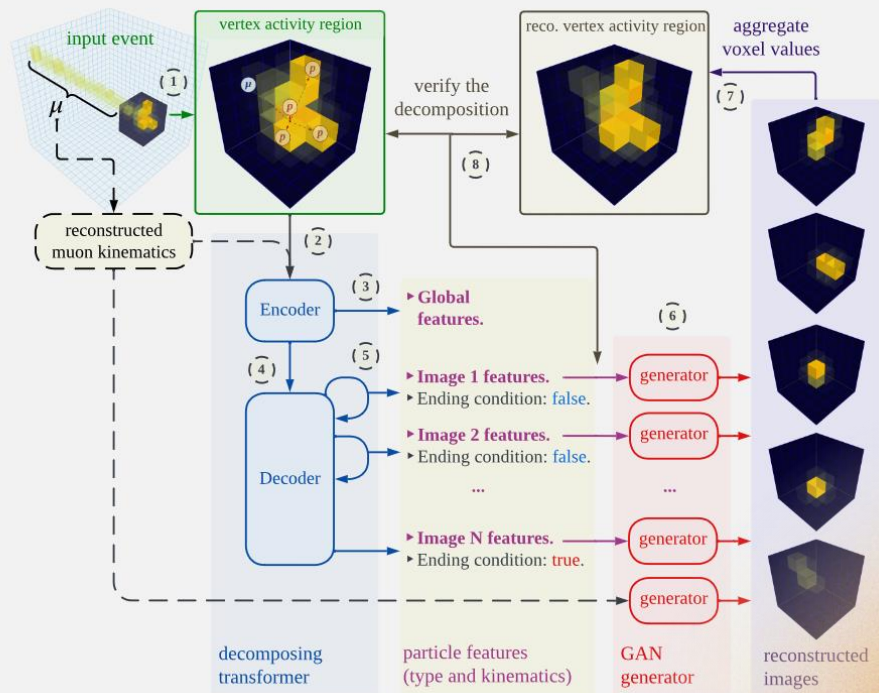
**Current study in progress**



## IV.B Architecture chosen

### Transformers!

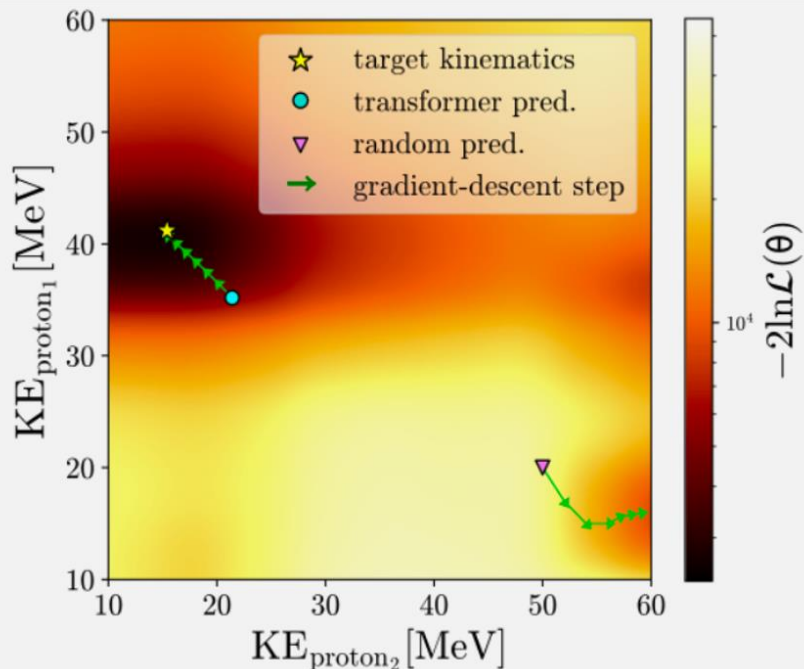
1. Transformer Encoder to analyse the event
2. Transformer Decoder to produce a sequence of particles
3. GAN to compare the prediction and refine it



Pipeline for an example neutrino interaction



## IV.B Building the likelihood



### Likelihood fit

Summing extremely fast simulations of single-particles each one obtained with the GAN:

- Validate on beam test data
- Fully differentiable, so easy to maximise
- Propagate statistics-meaningful systematics in a traditional manner

⇒ **Not possible without ML**



## IV.C Previous results

*Energy resolution in the vertex activity region: ~8% better than formula*

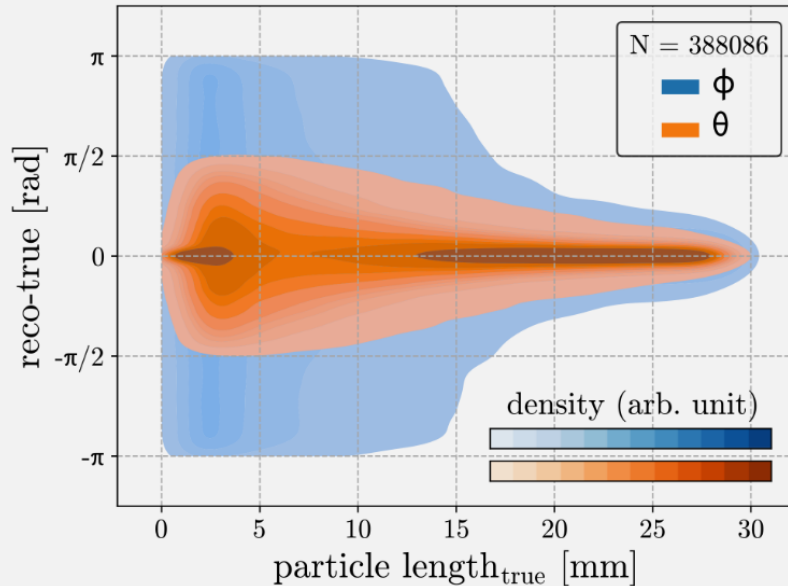
Vertex activity energy resolution (RMS)			
Number of protons	Approximation formula	Transformer	Transformer + GAN
1	33 %	27%	26%
2	22%	17%	13%
3	19%	11%	8%
4	21%	11%	9%

Energy resolution of the vertex activity region, depending on the number of protons of the event, for the approximation, the transformer only and the transformer coupled with the GAN refinement, tested on NEUT events.

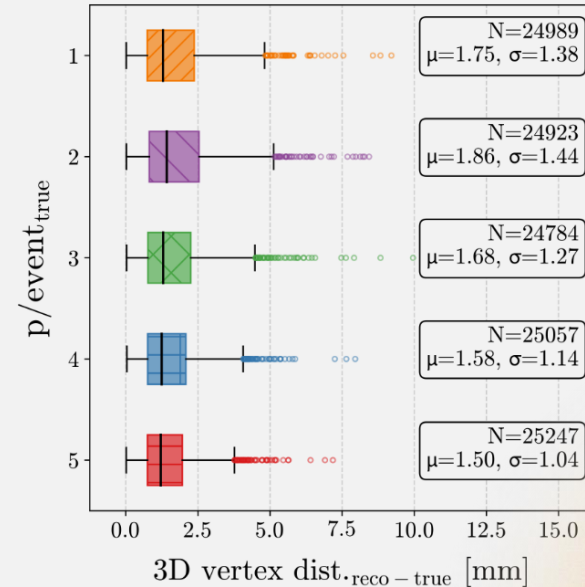


# IV.C Previous results

*Good vertex position and particles directions resolutions*



Angular resolution distribution depending on the particle trajectory length



Vertex resolution distribution depending on the number of protons in the event



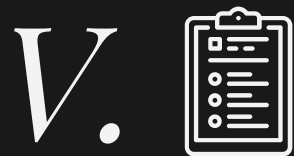


# IV Vertex activity

## *Key takeaway*

Energy resolution  
improvement by  $\sim 8\%$

*Also precise resolution of the vertex position and particles directions.  
The GAN helps improving the precision, especially for some hard outliers.*



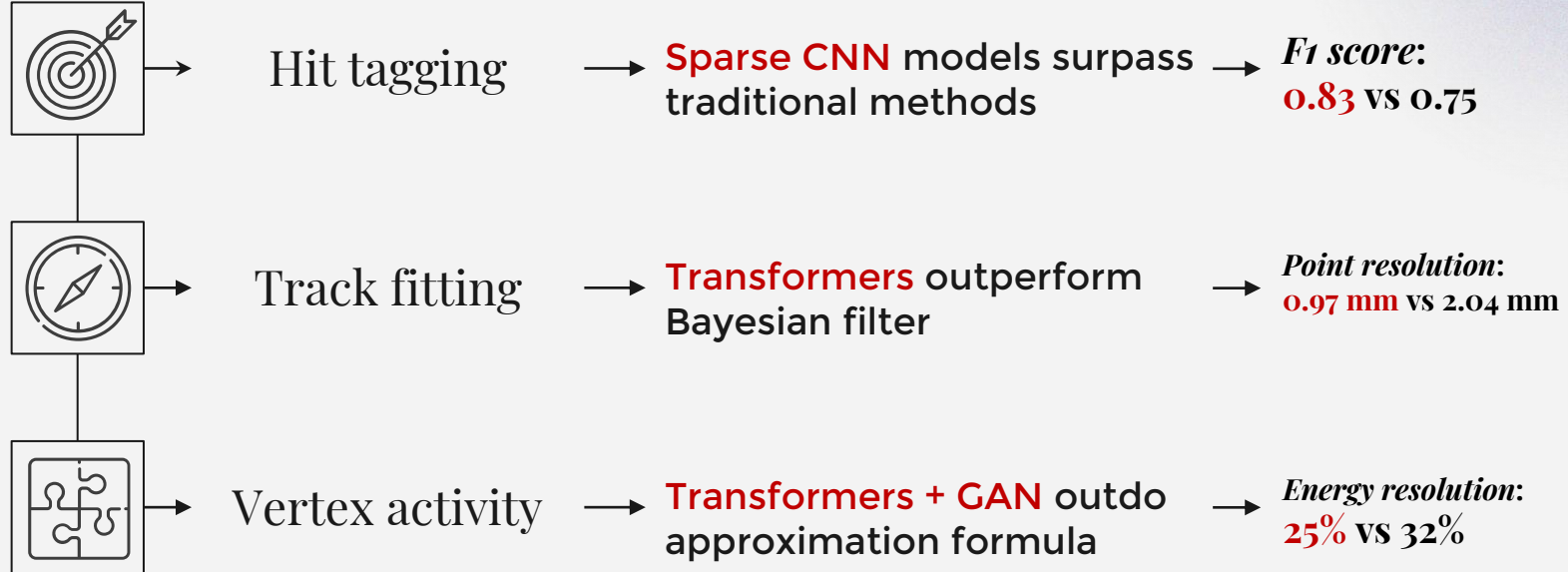
# Conclusion



Will Machine Learning help the data  
analysis of SFG detector ?

# Key takeaways

An “*unbiased*” strategy to reconstruct neutrino interactions with Deep Learning



*Machine Learning improves data analysis !*

# Key limitations



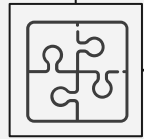
Hit tagging

→ Relies on the vertex reconstruction. Relies on Neutrino interaction simulator (NEUT, ...)



Track fitting

→ Relies on the track segmentation. True points insufficient for charge identification.

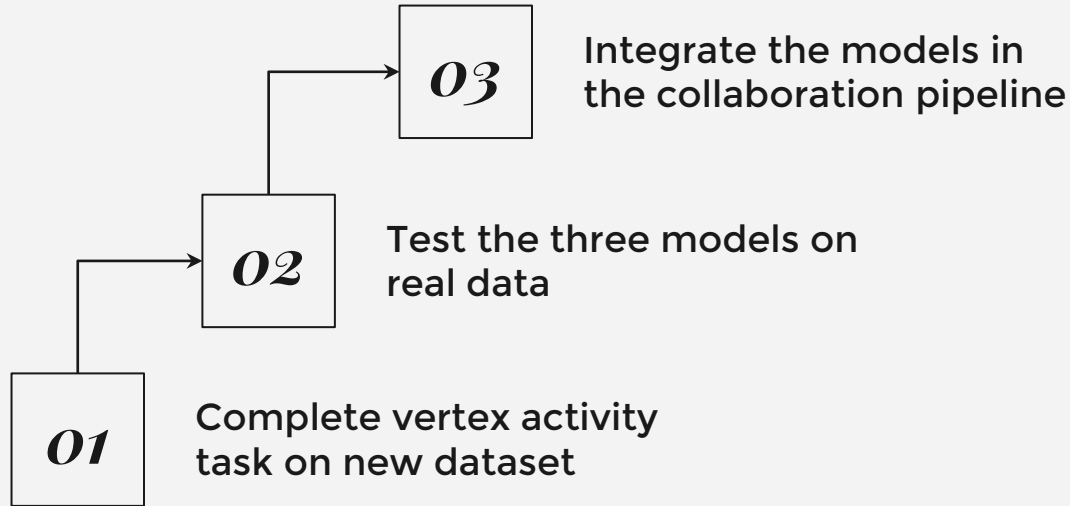


Vertex activity

→ Unknown real data distribution. Limited improvement.

*Data itself poses an upper bound to performances.*

# What's next?



# Thanks!

**Do you have any questions?**

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# Appendix II.A : Hit tagging dataset

**Events generated  
with NEUT**

**Training set:  
135k events**

**Validation set:  
32k events**

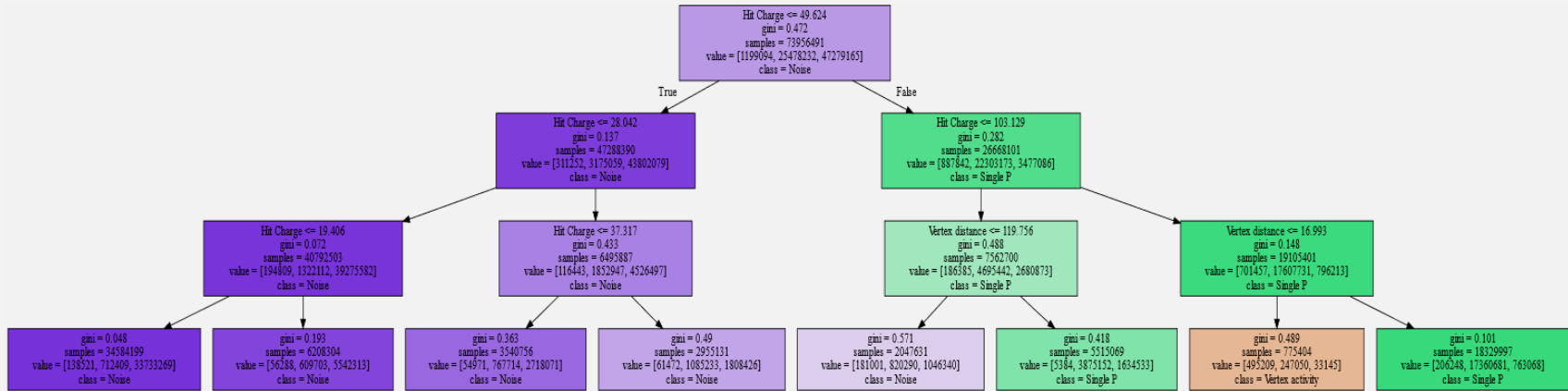
**Test set:  
50k events**

Interaction	CCQE	CC p pi+	CC Multi pi	2p2h	CC DIS	NC elastic	CC n pi+	CC n pi0	NC p pi0	Other
Fraction of events	38.06%	13.51%	6.06%	5.97%	5.59%	5.38%	4.14%	3.91%	2.29%	15.1%

Main interaction types of the hit tagging dataset generated with NEUT sorted by decreasing fraction of events.



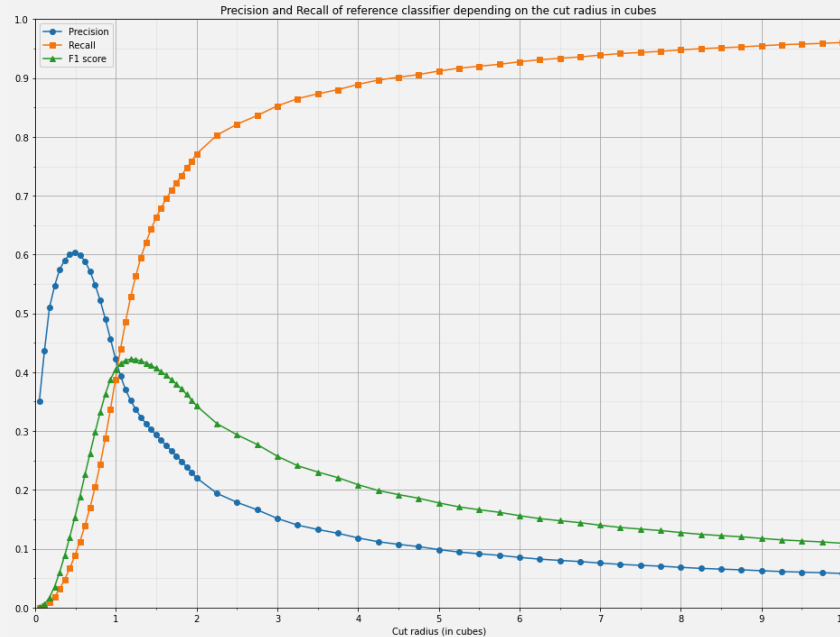
# Appendix II.B : Hit tag decision tree



Structure and values of the optimal decision tree for hit tagging with a maximum depth of 3, and with input features hit charge and distance to the reconstructed vertex position. Purple represent noise tag, green track tag and orange vertex activity tag.



# Appendix II.B : Optimal volume cut

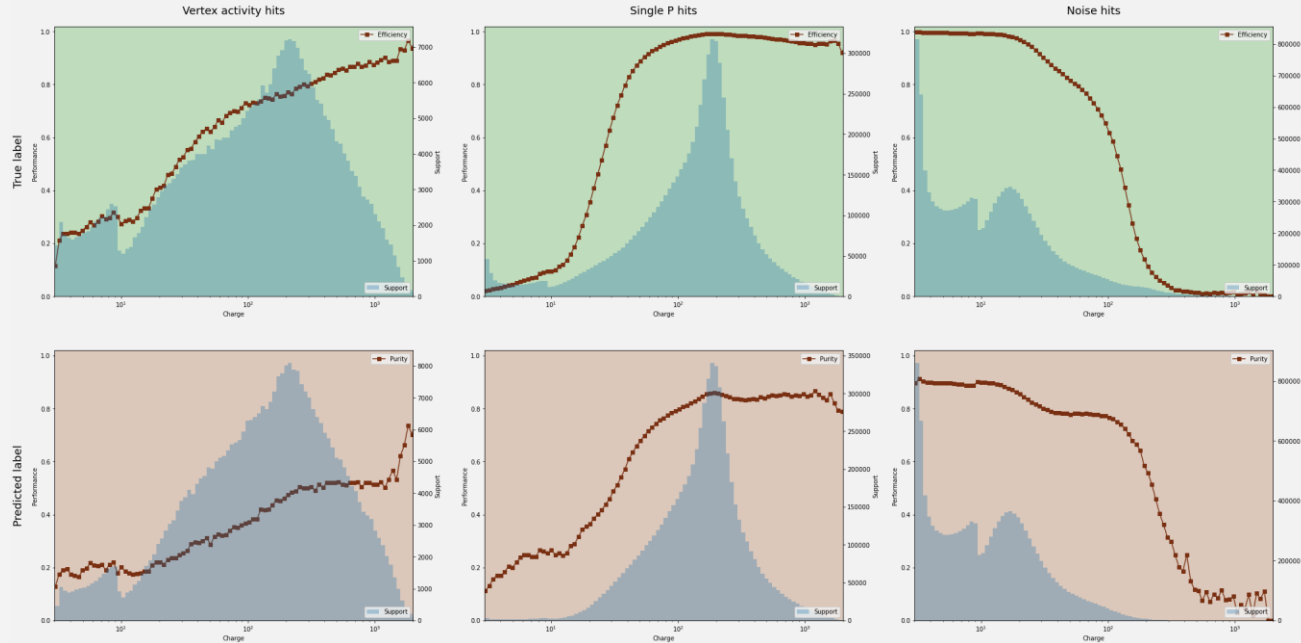


Evolution of precision, recall and f1-score for a fixed volume classifier with the cut on the distance to the vertex



# Appendix II.C : Performance vs hit charge

Performances depending on the hit charge and on the labels

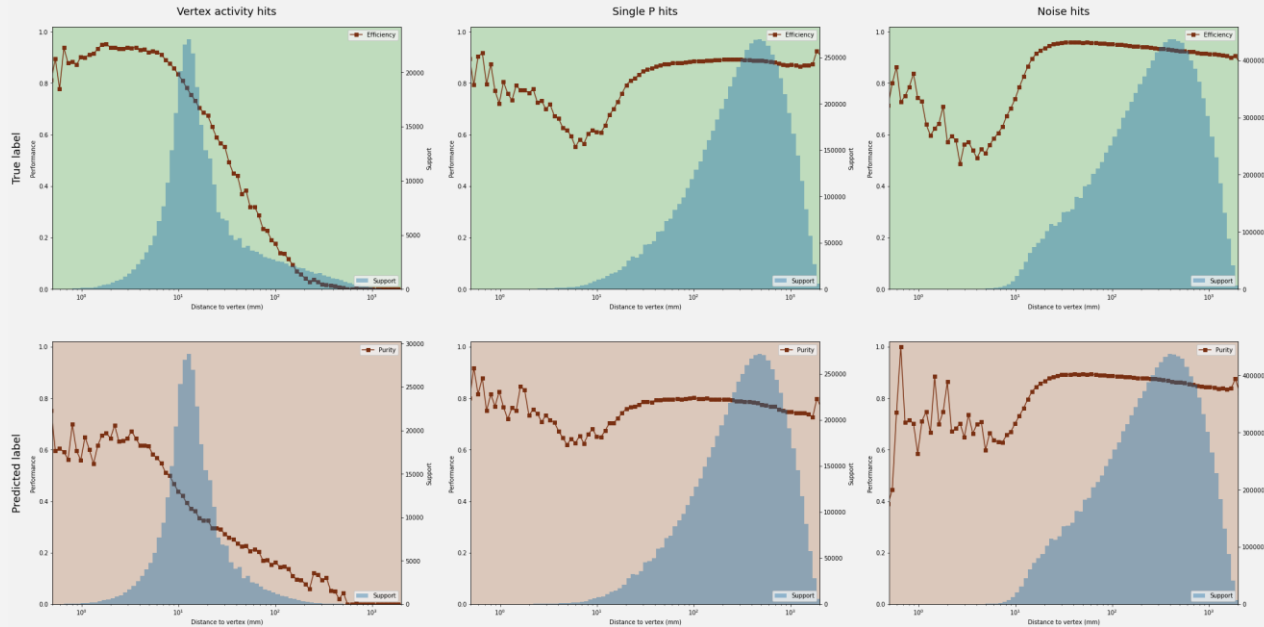


Recall and precision (red, left y-axis) for true and predicted labels (rows) by tag (columns) depending on the hit charge (x-axis), with hit charge distribution (blue, right y-axis)



# Appendix II.C : Performance vs vertex distance

Performances depending on the hit distance to the vertex and on the labels



Recall and precision (red, left y-axis) for true and predicted labels (rows) by tag (columns) depending on the distance to the vertex (x-axis), with the distance to the vertex distribution (blue, right y-axis)

# Appendix III.A : Track fitting dataset

**Events generated  
with Geant4**

**Training set:  
235k particles**

**Validation set:  
56k particles**

**Test set:  
56k particles**

Interaction	e+	e-	gamma	mu+	mu-	n	p	pi+	pi-
Fraction of events	12.5%	12.5%	12.5%	12.5%	12.5%	12.5%	12.5%	12.5%	12.5%
Fraction of hits	15.3%	15.2%	0.02%	17.1%	16.8%	0.07%	13.6%	11.0%	10.9%
Energy range	0-3.5 GeV	0-3.5 GeV	0-1.5 GeV	0-2.5 GeV	0-2.5 GeV	0-1.5 GeV	0-1.5 GeV	0-1.5 GeV	0-1.5 GeV

Particles used in the track fitting dataset



# Appendix III.C : All results

Best models		Primary tracks only			All data		
		Mean	68%	95%	Mean	68%	95%
Trajectory points offset only		1.21 mm	0.97 mm	3.15 mm	3.02 mm	2.38 mm	9.47 mm
Trajectory points and direction	Points	1.46 mm	1.50 mm	3.71 mm	3.14 mm	2.52 mm	9.62 mm
	Direction	0.192 rad	0.10 rad	0.98 rad	0.401 rad	0.23 rad	2.07 rad
Bayesian Filter	Points	2.56 mm	2.04 mm	5.69 mm	7.10 mm	3.81 mm	14.62 mm
	Direction	0.147 rad	0.03 rad	0.81 rad	0.393 rad	0.18 rad	2.30 rad

Table of all best models. We have best models for primary tracks only, or for all data (include secondary tracks and vertices), we have models predicting only the trajectory points, or also the trajectory directions

